



Proudly Presents

“SOX Readiness”

When: Thursday, February 18, 2016
Registration and Breakfast: 8:30 a.m. - 9:00 a.m.
Lunch: 12:00 noon - 1:00 p.m.
Session: 9:00 a.m. - 2:00 p.m.

Speaker: Deborah Frazer-Somerville, President, FrazerSomerville Company, LLC
Andy Snook, President, Fastpath, Inc
Scot Glover, Managing Director, Protiviti
Arthur Leung, CEO, N2 Consulting

Where: Hotel Nikko, 222 Mason Street (between Ellis and O’Farrell)
San Francisco, CA 94102
415-394-1111

CPE Hours: 4
Cost: \$75.00 ISACA Members
\$80.00 Non-Members
\$50.00 Students

Session Synopsis

Congratulations!!! Your company is now PUBLIC! Now what? You suddenly have a long list of rigorous compliance requirements, not the least of which is Sarbanes-Oxley. How do you handle it with your still pre-public sized resource pool? Or, perhaps an IPO is still a glimmer in your CEO's eye, but they have high expectations for you and your team regarding meeting all the requirements going public entails. In either scenario, you can't afford to miss this month's strategic SOX Readiness Seminar! Join your colleagues for an exciting, thrill-packed seminar of expert advice from those who've been through it. We're here to help!

This seminar will focus on:

- components of a good SOX program
- how to assess the gaps
- remediation and beyond

Speaker Information

Deborah Frazer-Somerville has over 20 years of experience in accounting, compliance and assurance. She is a CPA, CISA and CFE, and certified in healthcare compliance and ethics through Seton Hall School of Law. She launched FrazerSomerville Company in January 2015, with a focus on governance, risk and compliance within the life sciences and technology industries. Prior to starting her own firm, Deborah held senior leadership roles with Novartis International, Genentech/Roche, Gilead Sciences and other bay area companies. She is an alumna of KPMG and legacy Coopers&Lybrand, and received her MBA from the University of Michigan’s Ross School of Business.

Andy Snook is the president at Fastpath, Inc. He is Certified in Risk and Information Systems Controls (CRISC) as well as certified in Microsoft Dynamics and SAP. From 2011-2014, he was named to Dynamics World 100 Most Influential People for his work in IT compliance solutions.

Andy has been designing audit and compliance solutions for over 10 years and has assisted with compliance projects at more than 100 companies. Under his leadership, Fastpath has grown to support more than 1000 companies in over 30

Prior to his time at Fastpath, Andy was a financial systems implementation consultant for Microsoft Dynamics and an SAP management consultant with Ernst & Young. He graduated from the University of Notre Dame with degrees in Economics and Computer Applications.

Scot Glover is a Managing Director in Protiviti San Francisco office who focuses on helping companies assess, understand and mitigate their key business risks to drive key business improvements. Prior to joining Protiviti, Scot was a Risk Advisory services partner at both Grant Thornton and Ernst & Young, where he assisted clients in the real estate and construction and high-technology industries. Scot has also served as an assistant controller, controller and chief accounting officer for a large public company and a privately-held enterprise. Scot uses his consulting expertise and private industry experience to bring a balanced and practical approach to helping his client identify, assess and solve important business challenges.

Arthur Leung is the CEO of N2 Consulting. His experience includes assisting a wide range of small and medium size companies with their financial planning and accounting operations, IPO and Sarbanes Oxley preparation, along with helping public companies comply with their Sarbanes Oxley requirements. Additionally, he has advised companies with their due diligence for potential merger and acquisition deals.

To register or cancel a registration, visit our website at <http://www.sfisaca.org>. Cancellation Policy is 72 hours prior to the event. Please do not be a 'no show'. Our Chapter is billed for reservations made with our facilities provider, and we will have to pass the charges on to you. Thank you for your cooperation.

Please save the dates for our upcoming events:

Date	Topic <i>Speaker(s)</i>	Time	Location
February 24, 2016	Happy Hour	Evening	Southside Spirit House
March 16, 2016	Happy Hour	Evening	TBD
March 24, 2016	ISO 27k	Luncheon	Hotel Nikko, SF
April 21, 2016	System Implementation	Luncheon	Hotel Nikko, SF
May 11, 2016	Happy Hour	Evening	TBD
May 19, 2016	TBD	Luncheon	Hotel Nikko, SF
June 15, 2016	Happy Hour	Evening	TBD
June 23, 2016	TBD	Luncheon	Hotel Nikko, SF
July 21, 2016	Annual General Meeting & Networking	Evening	Hotel Nikko, SF

Most of these events are in the planning process now. Actual dates, times, and topics may vary.